

SOLE SOURCE CERTIFICATE AND POSTING NOTICE

A sole source procurement is when you make a request to purchase product(s) and/or service(s) without competition when competition is otherwise required. This means that product/service is unique and that the supplier is the only supplier that can provide the product or service. In accordance with the authority granted under applicable Florida law and UCF Regulation 7.130, the following documentation is submitted in support of this request.

The purchase requisition can be entered into UCF Financials at any point during the process set forth herein; however, doing so does not ensure approval of the sole source.

The completed sole source must be approved in the following order. **Please be sure to obtain** all required signatures before submitting the form to Procurement Services.

- PI/Researcher/Director/Chair
- President/Vice President/Dean
- Procurement Specialist
- Procurement Services Associate Director
- Assistant Vice President for Tax, Payables & Procurement, who will review and provide a recommendation to approve or disapprove the sole source to:
- Chief Financial Officer, who will either directly approve or disapprove the sole source, or forward it to the Provost and Executive Vice President for goods/services related to academia for input prior to making the final decision.

Contingent upon the approval of all the officers/individuals listed, the sole source shall be posted on the UCF Procurement Services website for seventy-two (72) business hours. Upon expiration of said posting period, Procurement Services will process a purchase order upon receipt of the requisition.

Once the completed sole source is received, Procurement Services reviews the documentation provided and determines whether the sole source is valid or if there are additional suppliers that may be able to provide the requested product or service. The sole source review and approval process varies based on the nature of the product/service being requested and the information provided in the requestor's justification, among other factors, so please keep this in mind when submitting the form.

The usual bidding process shall be conducted if sole source approval is not granted.

PART I: DEPARTMENT AND SUPPLIER INFORMATION							
Department Name:	ECE	Contac	ct & Phone: <u>Re</u>	eyner (407)823-5361			
Purchase Request No.:		Product	/Service Cost:	\$75,823.58			
Company Name:	Keysight Tehcnologies	Email:	yamilet.arc	lavin@keysight.com			
Contact Person:	Yamilet Ardavin	Title:	Sales repre	esentative			
Product and/or Service:	new UXA N9040B w	vith 50GHz	and 510M⊢	Iz analysis Bandwidth			
Telephone:	(954)412-9568	Facsimile:					
Address:	1900 Garden of the Gods Road	City:	Colorado	Springs			
State and Zip:	CO 80907-3423						

PART II: SOLE SOURCE JUSTIFICATION (see pages 4-5)

Only justifications submitted on this form and in the below format will be reviewed for approval. All of the below listed points MUST be fully answered on the following pages and any additional attached pages as needed. Failure to submit justification as outlined in the format below will result in the form being returned without review.

1. Describe the product(s) and/or service(s) and anticipated use thereof in layman's language.

2. State in detail why only this and no other product(s)/service(s) will satisfy the department's requirements.

3. State why the product(s) and/or service(s) are available from only one source and how that determination was made. Explain the research conducted to support this claim.

4. Provide an explanation to support the belief that the price is fair and reasonable.

PART III: SOLE SOURCE CERTIFICATIONS

- A. In my professional opinion, this is the only product or service that can reasonably meet my requirement(s)/specification(s), and this is the only supplier who can provide the product or service. I further certify that the information contained herein is true and correct to the best of my knowledge and belief and would withstand any audit or supplier protest.
- B. I, the undersigned, certify that I and/or the user do not have a financial interest in the above named supplier or contractor, and that I am unaware of any conflict of interest related to this purchase.

10.30.33 =04 00		
XUNGONG Date: 2022.04.19	Xun Gong	4/18/2022

Signature

Printed Name and Title (PI/Researcher/Director/Chair) D

I, the undersigned, hereby concur with the above justification and authorize the acquisition of the above product(s) and/or service(s) on a sole source basis.

mainte a ala	Digitally signed by michaela
michaelg	Date: 2022.04.24 16:27:53 -04'00'

Signature

Printed Name and Title (President/Vice President/Dean) Date (Delegations not allowed; emails from absent approvers are acceptable)

Date

4/18/2022

I, the undersigned, here the above product(s) an	by concur with the above ju d/or service(s).	stification and support a	sole source approval for
See email approval a	ittached		4/18/2022
Signature	Printed Name and Titl	e (Procurement Specia	list) Date
I, the undersigned, here above product(s) and/or	by concur with the above j service(s) on a sole source	ustification and support basis.	the acquisition of the
See email approval atta	ched		
Signature	Printed Name and Titl (Procurement Service	e s Associate Director)	Date
I, the undersigned, here above product(s) and/or See email approval at	by concur with the above ju service(s) on a sole source tached	stification and authorize basis.	the acquisition of the
Signature	Printed Name and Tit	le	Date
-	(Asst. Vice President	for Tax, Payables & Pro	ocurement)
I, the undersigned, here above product(s) and/or	by concur with the above ju service(s) on a sole source	stification and authorize basis.	the acquisition of the
See email approval atta	ched		
Signature	Printed Name and Tit	le (Chief Financial Offic	cer) Date
	POSTING	NOTICE	
5/20/22 9:30am EST	5/23/22 9:30am EST	2226	Trinh Nguyen
Date/Time Posted	Posting End Date	UCF Control No.	Procurement Specialist

Please answer the questions below and attach additional documentation if needed.

1. Describe the product(s) and/or service(s) and anticipated use thereof in layman's language.

Future communications and sensing systems in 5G-and-beyond require the use of mmWave frequencies and sophisticated digital modulation schemes to provide high data rates. In those systems, wide-band signals are modulated using Quadrature Amplitude Modulation (QAM) or Orthogonal Frequency Division Multiplexing (OFDM) and then up-converted to mmWave frequencies. The high-frequency signals are then transmitted through the air and propagate inside various environments before they reach the end users. Due to the complex propagation environment, the signals are often distorted due to scattering from the buildings, reflection from multiple surfaces, and attenuation inside different materials. It is critical for researchers to evaluate the signal integrity after passing through the environment for various applications.

Analyzing signals carrying digital modulation requires vector measurements that provide both magnitude and phase information. A vector signal analyzer digitizes all the radio frequency power within the passband of the instrument and puts the digitized waveform into memory. The waveform in memory contains both the magnitude and phase information which can be used by digital signal processing (DSP) for demodulation, measurements, or display processing. The proposed Keysight N9040B UXA Signal Analyzer provides the widest analysis bandwidth in its class and superior phase noise performance at high frequencies. In addition, real time signal analysis for transient signals is enabled with industry-leading PathWave 89600 VSA software.

2. State in detail why only this and no other product(s)/service(s) will satisfy the department's requirements.

Among various specifications, the highest operating frequency and analysis bandwidth are the two most important parameters. The frequency of the N9040B UXA for purchase is up to 50 GHz while the analysis bandwidth is 510 MHz (upgradable to 1 GHz later). These are the best in class. Tektronix has signal analyzers with up to 26.5 GHz operation frequency and 165 MHz analysis bandwidth. Anritsu signal analyzers have a maximum operation frequency of 44.5 GHz with 255 MHz, 510 MHz and 1 GHz analysis bandwidth options. There are the two main competitors in high-end signal analyzers.

Another important parameter is the DANL. DANL or displayed average noise level is a measure used to define the sensitivity of a spectrum analyzer. The DANL specifies all the internal noise of the spectrum analyzer referenced to 1 Hz and represented in dBm/Hz. The DANL is frequency dependent and it increases with frequency. Spectrum analyzers use preamplifiers to reduce DANL. The instrument is unable to measure any input signal that is below this level. Keysight N9040B UXA achieves a DANL of -156 dBm (@30 GHz) while the Anritsu's best signal analyzer MS2850A can only achieve DANL of -142 dBm (@28 GHz). The lower the DANL, the more sensitive the signal analyzer.

When testing radar, electronic warfare, or signal intelligence systems, it is important that we find and measure signals of interest in complex and dynamic environments. Using the real-time spectrum analyzer (RTSA), we can trigger on signals with a mask and even view signals in the time domain. The Keysight N9040B UXA has be achieve RTSA function in a field upgrade. This function is unavailable form both Tektronix and Anritsu products.

The signal analyzer we are buying is to support >10 faculty members in CECS. Therefore, it is our best interest to maximize the performance in frequency, bandwidth and sensitivity for various projects.

SOLE SOURCE JUSTIFICATION

3. State why the product(s) and/or service(s) are available from only one source and how that determination was made. Explain the research conducted to support this claim.

As mentioned earlier, the Keysight UXA N9040B provides the best performance in its class. The decision was made among the four PIs and 8 other faculty members in the college of engineering when we wrote the proposal to the CECS Research Infrastructure Funds.

Due to saturation in the legacy sub-6 GHz radio bands, research community has been focusing on utilizing higher frequencies. Hence, the research funding has shifted to these mmWave and Terahertz frequencies. Once put together, this testbed will strengthen UCF's presence in wireless and sensing research in mmWave and put the CECS faculty in a stronger position to attract funds from NSF and DoD programs.

This group of faculty members are actively working on mmWave communications, sensing, security and networks. It benefits the department the most with the best equipment under the \$75k budget.

4. Provide an explanation to support the belief that the price is fair and reasonable.

A typical educational discount from a major equipment vendor such as Keysight, Tektronix, and Anritsu is 15% for brand-new equipment. This time Keysight offers a 23% discount on a new UXA N9040B. The equipment before discount is \$166,654. After 23% discount, the price is \$128,323.58. Keysight provides a trade-in value of \$52,500 for an existing equipment (Agilent E4448A spectrum analyzer) in Xun Gong's lab. The E4448A was purchased in 2006 and does not have vector analysis capability. It is already out of warranty. Therefore, it is a good opportunity to use this trade-in to acquire the best signal analyzer in the world for ~\$75,000.

Got it. Thanks. I approve.

Regards,

Gerald

Sent from my iPhone

On May 19, 2022, at 1:17 PM, Joel Levenson <Joel.Levenson@ucf.edu> wrote:

Gerald,

The research into competitors showed that the specifications from competitors did not meet the needs of the department. Assuming that to be true, additional quotes would not be required.

From: Gerald Hector <Gerald.Hector@ucf.edu>
Sent: Thursday, May 19, 2022 9:52 AM
To: Joel Levenson <Joel.Levenson@ucf.edu>
Cc: Brian Sargent <Brian.Sargent@ucf.edu>; Trinh Nguyen <Trinh.Nguyen@ucf.edu>
Subject: Re: Req 504925 - Keysight Tehcnologies Sole Source

Joel:

Their justification for the sole source seems to be based on their internal consultations of eight faculty members who wrote the grant. <u>I approve of this</u> **conceptually given the unique nature of the equipment**, but I have a follow up question: If there are other "players" in this space, should we at least request the campus community to have a quote from them. I am not so sure on this one.

Regards,

Gerald.

From: Joel Levenson <<u>Joel.Levenson@ucf.edu</u>> Sent: Tuesday, May 17, 2022 1:38 PM To: Gerald Hector <<u>Gerald.Hector@ucf.edu</u>>
 Cc: Brian Sargent <<u>Brian.Sargent@ucf.edu</u>>; Trinh Nguyen <<u>Trinh.Nguyen@ucf.edu</u>>
 Subject: Fwd: Req 504925 - Keysight Tehcnologies Sole Source

Gerald,

I support this sole source as well. Research into the suppliers has shown that only one supplier provides the equipment with the specifications needed.

Reply all if you approve and indicate as such or feel free to ask any additional questions you have! Thank you,

Sent from my iPhone

Begin forwarded message:

From: Brian Sargent <Brian.Sargent@ucf.edu>
Date: May 13, 2022 at 2:03:45 PM EDT
To: Joel Levenson <joel.levenson@ucf.edu>
Cc: Trinh Nguyen <Trinh.Nguyen@ucf.edu>
Subject: FW: Req 504925 - Keysight Tehcnologies Sole Source

Hi Joel,

I support this sole source for the reasons listed below. Other products were researched and none can meet all the performance requirements required by the 10 CECS faculty members.

Please approve/disapprove.

Thanks,

Brian

From: Trinh Nguyen <<u>Trinh.Nguyen@ucf.edu</u>>
Sent: Friday, May 13, 2022 1:44 PM
To: Brian Sargent <<u>Brian.Sargent@ucf.edu</u>>
Subject: FW: Req 504925 - Keysight Tehcnologies Sole Source

Hi Brian,

I have reviewed the attached sole source and can support it with the

following reasons. Can you please also review to see if you agree or not.

Vendor: Keysight Technologies Product: UXA N9040B with 50GHz and 510MHz analysis Bandwidth Total Amount: \$75,823.58 Dept: ECE

Requirement: The department needs to purchase a signal analyzer to support more than 10 faculty members in CECS that needs a product that can maximize the performance in frequency, bandwidth, and sensitivity for their various research projects.

Required Requirements:

- Highest operating frequency must be up to 50GHz
- Analysis bandwidth must be 510MHz that can be upgraded to 1GHz later
- Need a DANL that can achieve -156 dBm (@30 GHz). DANL (displayed average noise level) is a measure used to define the sensitivity of a spectrum analyzer.
- The equipment must achieve real-time spectrum analyzer (RTSA) function in a field upgrade.

Research Conducted: The only high-end signal analyzer out in the market that can meet all the above requirements is the Keysight N9040B UXA. There are only two other competitors, Tektronix and Anritsu, with comparable products but they lack some of the above required requirements.

Tektronix	
if !supportLists? •	endif? Operating frequency is
only up to 26.5GHz	
if !supportLists? •	endif? Analysis bandwidth is
165MHz	
Anritsu	
if !supportLists? •	endif? Operating frequency is
only up to 44.5GHz	
if !supportLists? •	endif? Anritsu's best signal
analyzer can only achieve	e DANL of -142 dBm (@28 GHz).

Both the Tektronix and Anritsu products does not offer the real-time spectrum analyzer (RTSA) which is needed for testing radar, electronic warfare, or signal intelligence systems. Measuring signals of interest in complex and dynamic environments is important feature for this type of equipment. **Price is fair and reasonable:** It was noted that Keysight offer the lab a 23% discount on a new UXA N9040B. The equipment before discount is \$166,654. After 23% discount, the price is \$128,323.58. Keysight also provided a trade-in value of \$52,500 for an existing 2006 equipment (Agilent E4448A spectrum analyzer) that's out of warranty to bring the price down to approximately \$75K so the price is deemed fair and reasonable.

Thanks, Trinh

From: Reyner Martinez <<u>Reyner.Martinez@ucf.edu</u>>
Sent: Wednesday, May 4, 2022 3:51 PM
To: Trinh Nguyen <<u>Trinh.Nguyen@ucf.edu</u>>
Subject: RE: Req 504925 - Keysight Tehcnologies Sole Source

Good afternoon Trinh

I must have had attached the wrong one, I am sorry

Here is the one with the deans signature

Thank you

From: Trinh Nguyen <<u>Trinh.Nguyen@ucf.edu</u>>
Sent: Wednesday, May 4, 2022 3:49 PM
To: Reyner Martinez <<u>Reyner.Martinez@ucf.edu</u>>
Subject: FW: Req 504925 - Keysight Tehcnologies Sole Source

Hi Reyner,

Can you please have the Dean/VP sign the attached sole source before I start reviewing. The total dollar amount is over \$75K so will require signature from the Dean.

Thanks, Trinh

From: Brian Sargent <<u>Brian.Sargent@ucf.edu</u>>
Sent: Wednesday, May 4, 2022 3:38 PM
To: Trinh Nguyen <<u>Trinh.Nguyen@ucf.edu</u>>
Subject: FW: Req 504925 - Keysight Tehcnologies Sole Source

Thanks Trinh. Assigning to you.

Not sure if my pdf view is wrong but it doesn't look like the Dean/VP has signed yet.

Regards,

Brian

From: Trinh Nguyen <<u>Trinh.Nguyen@ucf.edu</u>>
Sent: Wednesday, May 4, 2022 3:07 PM
To: Brian Sargent <<u>Brian.Sargent@ucf.edu</u>>
Subject: Req 504925 - Keysight Tehcnologies Sole Source

Hi Brian,

The above req has a Sole Source. I'm reviewing, please assign to me.

Thanks, Trinh

Quotation



Customer Reference

Quotation Number 2504537-1	Quotation Date 19-Apr-2022		Expiration Date 17-Jul-2022
Agreement Number / Expires		Final Destination Country United States	
Payment Terms NET 30 DAYS		Incoterms DDP United	States

Attention: Reyner Martinez Customer Address: University of Central Florida ECE Dept HEC 426 4328 Scorpius St ORLANDO FL 32816-8035 United States

Account Manager:

Yamilet Ardavin Telephone: Email:

(954) 412-9568 yamilet.ardavin@keysight.com

Telephone: Email:

Email:

+13524970636 reyner.martinez@ucf.edu

Please Direct Inquiries/Send Purchase Orders To: Keysight Sales Office: **Customer Contact Center** 1-800-829-4444 Telephone: 1-800-829-4433 Fax: usa_orders@keysight.com

Keysight Technologies Inc. / US 1900 Garden of the Gods Road Colorado Springs CO 80907-3423 United States

Item	Product Number/Description	Unit Price	Unit Adjustment	Unit Net Price	Quantity	Extended Price USD
1	N9040B UXA Signal Analyzer KeysightCare Assured First Year Support Return to Keysight Warranty - 1 year Start up assistance hours, UXA 2.4 mm connector, male Operating system, Windows 10 IQ Analyzer and Swept SA Measurement Application Getting Started and Troubleshooting Guide Negotiated Discount 23%	2,632.00	-605.36	2,026.64	1	2,026.64
	Notes : ***Special discount applied. See terms and conditions	below.***				
	N9040B-550 Frequency Range, 2 Hz To 50 GHz Negotiated Discount 23%	114,711.00	-26,383.53	88,327.47	1	88,327.47



Quotation Number 2504537-1	Quotation Date 19-Apr-2022		Expiration Date 17-Jul-2022
Agreement Number / Expires		Final Destination Country United States	
Payment Terms NET 30 DAYS		Incoterms DDP United	States

ltem	Product Number/Description	Unit Price	Unit Adjustment	Unit Net Price	Quantity	Extended Price USD
	N9040B-B5X Analysis bandwidth, 510 MHz Negotiated Discount 23%	49,311.00	-11,341.53	37,969.47	1	37,969.47
	Estimated Weeks Delivery: To Be Advised			lt	em Net Total:	128,323.58
2	W1184-257195 Trade in credit PL 12	-52,500.00	0.00	-52,500.00	1	-52,500.00

Notes :

Notes: Trade-in credit offered for the return of product(s) towards the purchase of new/Premium Used Keysight equipment. Please reference on your purchase order the quotation number, that there is a trade-in unit(s) on your order, the model/option configuration of the trade-in unit(s), and the serial number of the unit(s) being returned for credit. Trade-In units must be in "good working condition" for full credit. See terms and conditions for more information.

Estimated Weeks Delivery: To Be Advised	Item Net Total:	-52,500.00
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Unit Price Total	114,154.00
Adjustment Total	-38,330.42
Total	USD 75,823.58

Comments:

Stated delivery times are based on current supplier response and are subject to change.

At this time we are unable to provide delivery information for any item(s) with delivery of To Be Advised (TBA). Keysight Technologies reserves the right to re-quote delivery at time of order placement for any item whose delivery is To Be Advised (TBA).

The discount rate(s) stated on this quotation is predicated upon customer ordering all the products and quantities as specified on this quotation. Changes to product mix and/or quantities may affect the discount percentage.

Unless otherwise specified in this quote, the named place of the Incoterms corresponds to the ship-to location. End user's address must appear on the purchase order for sales support reasons.

This is an offer for the items, prices, and quantities specified in this quotation. Any deviation from the information herein may impact order acceptance, pricing and/or delivery. Any negotiated terms or prices are a one-time offer unless otherwise specified. This offer includes delivery to one location.

Delivery dates are estimated and subject to availability at the time of order placement. On your purchase order, please reference this quotation number,



Customer Reference			
Quotation Number	Quotation Date		Expiration Date
2504537-1	19-Apr-2022		17-Jul-2022
Agreement Number / Expires		Final Destination Country United States	
Payment Terms		Incoterms	
NET 30 DAYS		DDP United States	

Purchase Agreement (if applicable), requested delivery date, and if you will accept early delivery.

Invoicing on partial shipments will be considered acceptable unless otherwise noted on your purchase order.

Tax amounts are estimated. If the items are exempt from sales tax, please ensure that a valid Tax Exemption Certificate, referencing Keysight Technologies as the vendor, is submitted with your Purchase Order. Tax is subject to change based on the Ship To address of the purchase order.

In the event that Keysight is requested to apply for the Individual Validated License (IVL), Keysight is required to be the Exporter of Record and INCOTERMS must be CIP, DAP or DDP. This quotation may need to be requoted to reflect the correct Incoterms, and delivery dates may be revised. Please contact the US Department of Commerce at 202-482-4811 for additional information on obtaining an IVL.

Please submit your purchase order to the Sales Office identified on this quote above.

Unless otherwise agreed in writing by Keysight, to the extent permissible by law, Keysight's obligation to provide productivity and start-up assistance (that may include consulting and training services) will expire six (6) months from the invoice date.

The dates for any Keysight training classes are tentative. Keysight Technologies must receive customer's Purchase Order at least two weeks prior to class start date. Keysight requires a minimum of six people to attend the class. Should Keysight need to cancel the class due to low attendance, Customer will be notified ten days prior to the scheduled start date. Keysight recommends refundable tickets for all travel and lodging in the event that a class must be canceled due to low attendance.

Please reference your quotation number and that you are trading in units through the Trade-In Program on your purchase order. Keysight defines "good working condition" as the following:

1. The instrument must power up when turned on.

2. The unit must successfully pass its self-tests, and have any required interconnect RF cables included which are used to pass the self-tests.

3. The unit must be free of serious cosmetic defects including a cracked/broken display, deep gouges to the instrument body, broken or non-usable connectors etc...

4. The returned unit must be the same model and option configuration as was described on the quote.

5. The unit does not need to be calibrated prior to return.

Find more information about Keysight's Trade-In Program at http://tradein.em.keysight.com/en/overview

Unless otherwise specified in the Product Description or notes, this quotation does not address Buy American (BAA) or Trade Agreements (TAA) requirements. Please contact Keysight if BAA or TAA apply to your acquisition.

****If interested in a monthly payment, ask about KeysightAccess.

Your purchase of hardware products, services, license to software and spare parts ("Items") is governed by applicable terms and documents referenced herein and the terms and conditions found at: HTTP://WWW.KEYSIGHT.COM/view/TERMS-US If you have a separate agreement with Keysight covering the purchase of Items referenced in this quotation, the terms of the separate agreement will apply to those Items. Keysight expressly objects to any

Quotation



Quotation Number	Quotation Date		Expiration Date
2504537-1	19-Apr-2022		17-Jul-2022
Agreement Number / Expires		Final Destination Country United States	
Payment Terms		Incoterms	
NET 30 DAYS		DDP United States	

different or additional terms in your purchase/sales order documentation, unless agreed to in writing by Keysight. Availability dates are estimated at the time of the quotation. Actual delivery dates or delivery windows will be specified at the time Keysight acknowledges and accepts your purchase order. The above conditions shall apply to the fullest extent permitted by the law. You may have other statutory or legal rights available. Commodities, technology or software exported from the United States of America ("U.S.") or from other exporting countries will be subject to the U.S. Export Administration Regulations and all exporting countries' export laws and regulations. Diversion contrary to U.S. law and the applicable export laws and regulations is prohibited. Warranty: Unless otherwise indicated herein, hardware products or software licenses referenced in this quotation will receive a ninety (90) day replacement warranty. Replaced parts will become the property of Keysight.